



RiverSource Life Insurance Company
829 Ameriprise Financial Center, Minneapolis MN 55474
Client Services: 1-800-333-3437 riversource.com



403(b) – TSA/TSCA Transfer Request

RiverSource Contract Number

If you are a client of Ameriprise Financial, please contact your Ameriprise financial advisor or go to ameriprise.com/forms to access servicing forms.

Transferring Institution

Name of Transferring Institution _____ Phone Number _____

Street Address _____

City _____ State _____ Zip Code _____

Client Information

Client Name _____ Social Security Number _____ Birthdate _____

Street Address _____

City _____ State _____ Zip Code _____

Instructions for Transferring Institution

(Select all that apply)

My existing plan is (Select all that apply): Tax Sheltered Annuity (TSA) Tax Sheltered Custodial Account (TSCA)

The transfer is to: (TSA)

I hereby request the immediate transfer of account number _____

Contract Exchange or Plan to Plan Transfer

This transaction is intended to qualify as tax-free Contract Exchange or Plan to Plan Transfer. A contract exchange occurs when an employee changes from one 403(b) investment option allowed under the plan to another investment option in the same plan. No tax reporting is required on a Contract Exchange.

A Plan to Plan Transfer occurs when an employee moves all or a portion of their 403(b) account from one employer's plan into an investment product offered by a different employer's 403(b) plan. No tax reporting is required on a Plan to Plan Transfer.

Amount: (Select one) \$ _____ or _____ % or The amount not subject to surrender/charge within my TSA/TSCA.

My contract is: (Select one) Attached Lost

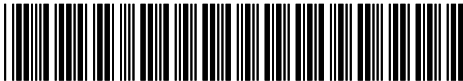
NOTE: To comply with the Technical and Miscellaneous Revenue Act of 1988, please provide the amount which was invested in the TSA/TSCA as of Dec. 31, 1988.

I certify that I am aware of the rules and requirements regarding 403(b) account transfers and exchanges and have had the opportunity to consult with my personal tax adviser regarding this transaction. I further acknowledge that I may need to request that my employer enter into an information sharing agreement or other necessary documentation with RiverSource Life in order to maintain the tax qualified status of my 403(b) account.

I hereby authorize RiverSource Life Insurance Company to receive a transfer or exchange of 403(b) assets to be allocated to a RiverSource® Tax Sheltered Annuity.

Client Signature _____ Date _____

X _____



Plan Sponsor / Third Party Administrator (TPA) Information

Plan Sponsor / TPA Name	Employee ID Number (EIN)	Phone Number
_____	_____	_____
Contact Name	Title	
_____	_____	
Mailing Address		

City	State	Zip Code
_____	_____	_____

If you are currently retired, unemployed or working for an employer who does not sponsor a 403(b) program, your 403(b) account is deemed associated with your most recent employer who sponsored the 403(b) arrangement.

RiverSource Life Insurance Company (RiverSource Life) has entered or intends to enter into an Information Sharing Agreement (ISA). The ISA needs to be completed and submitted along with this form unless one has been submitted to RiverSource Life prior to this date. An ISA is an agreement between the employer and the investment provider allowing the exchange of information to ensure compliance with 403(b) regulations, including but not limited to information regarding the participant's employment status.

I hereby authorize the requested transfer or exchange of the clients 403(b) assets to be allocated to a RiverSource Life Insurance Company.

Plan Sponsor/Third Party Administrator Authorized Signor Name

X _____

Plan Sponsor/Third Party Administrator Authorized Signature

X _____

Date

Make check(s) payable and forward (with a copy of this form) to address checked below:

RiverSource Life Insurance Company
829 Ameriprise Financial Center
Minneapolis, MN 55474

Please reference RiverSource Life TSA Annuity Number: _____

Letter of Acceptance: RiverSource Life will deposit assets received in a TSA for the benefit of the above named individual. RiverSource Life is an issuer of TSAs. Acceptance by RiverSource Life is not a guarantee that the transaction will qualify as a transfer under applicable law.

Authorized Signature – RiverSource Life Insurance Company Corporate Office

X _____

Date
